

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
13663

In Re Application Of: Chikara Murata et al.

DEC 08 2003

Serial No.  
09/581,447

Filing Date  
June 13, 2000

Examiner  
N. Uhler

Group Art Unit  
1773

Title: ANTI-REFLECTION MATERIAL AND POLARIZATION FILM

RECEIVED

DEC 10 2003

TECHNOLOGY CENTER R3700

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.

13663

In Re Application: **Chikara Murata et al.**

Serial No.

09/581,447

Filing Date

June 13, 2000

Examiner

N. Uhler

Group Art Unit

1773

**ANTI-REFLECTION MATERIAL AND POLARIZATION FILM****RECEIVED**

DEC 10 2003

TECHNOLOGY CENTER R3700

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 12/03/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Marvin Bressler

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Marvin Bressler*  
Signature

Dated: December 3, 2003

Marvin Bressler

Registration No. 25,132

Scully, Scott, Murphy &amp; Presser

400 Garden City Plaza

Garden City, New York 11530

516-742-4343

cc:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Chikara Murata et al.

**Examiner:** N. Uhler

**Serial No:** 09/581,447

**Art Unit:** 1773

**Filed:** June 13, 2000

**Docket:** 13663

**For:** ANTI-REFLECTION MATERIAL  
AND POLARIZATION FILM

**Dated:** December 3, 2003

RECEIVED

DEC 10 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

TECHNOLOGY CENTER

*Handwritten:* RUBS INITIAL DATA CAPTURE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)(2)**

Sir:

Applicants, in order to meet their duty of disclosure, set forth at 37 C.F.R. §1.56, submit those references which they deem pertinent to the claims of the present application.

The relevant references are: Japanese Patent Publication Nos. 07-151902; 07-159603; 2000-075105; 2000-009908; 2000-009907; 11-133204; 07-325203; 09-222504; 07-325202; 07-027902; 05-164903; and 11-001633. These references were cited in the

---

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450, on December 3, 2003.

12/09/2003 EFLORES 00000099 09581447

01 FC:1806 180.00 DP

**Dated:** December 3, 2003

*Handwritten signature:* Marvin Bressler  
Marvin Bressler

12/09/2003 EFLORES 00000098 09968481

01 FC:1806 180.00 DP

Void date: 12/09/2003 EFLORES

12/09/2003 EFLORES 00000098 09968481  
01 FC:1806 -180.00 DP

prosecution of the corresponding Japanese patent application.

Applicants desire that the aforementioned references be made of record in the file of the present application. To that end they enclose completed Form PTO-1449 wherein these references are set forth. In addition, copies of these references, each provided with an English language abstract, is enclosed. Applicants request that the examiner initial completed Form PTO-1449 and make these references of record in the file of the present application.

The instant Information Disclosure Statement is filed subsequent to the issuance of an Official Action on the merits. However, that Official Action has not been made final. As such, the present application is filed under 37 C.F.R. §1.97(c). An Information Disclosure Statement filed under this rule must be accompanied by either certification or fee. Applicants submit herewith the fee associated with the filing of an Information Disclosure Statement after issuance of a first Official Action on the merits, as set forth at 37 C.F.R. §1.17(p).

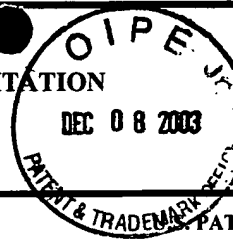
Respectfully submitted,



Marvin Bressler  
Registration No. 25,132  
Attorney for Applicants

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
516-742-4343  
MB:ml

*(Use several sheets if necessary)*



13663

**09/581,447**

Chikara Murata et al.

**June 13, 2000**

1773

## PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS		SUBCLASS		Translation	
									YES	NO
		07-151902	06/16/95	Japan					✓	
		07-159603	06/23/95	Japan					✓	
		2000-075105	03/14/00	Japan					✓	
		2000-009908	01/14/00	Japan					✓	
		2000-009907	01/14/00	Japan					✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

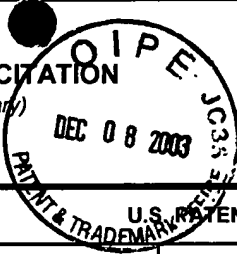

## Final Prebible

ED  
6-8-04

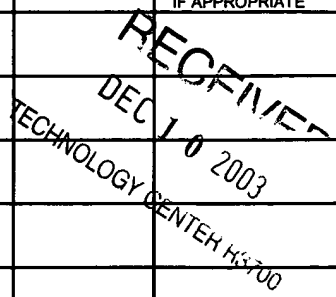
**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.




<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <div style="text-align: center;">13663</div>		SERIAL NO. <div style="text-align: center;">09/581,447</div>	
				FILING <div style="text-align: center;">June 13, 2000</div>		GROUP <div style="text-align: center;">1773</div>	



*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE



FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		05-164903	06/29/93	Japan			✓	
		11-001633	01/06/99	Japan			✓	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.